

RyanEyes Software & Data Warehouse Solutions Use Case Product Brief

RyanEyes is a second set of eyes for your financial services organization

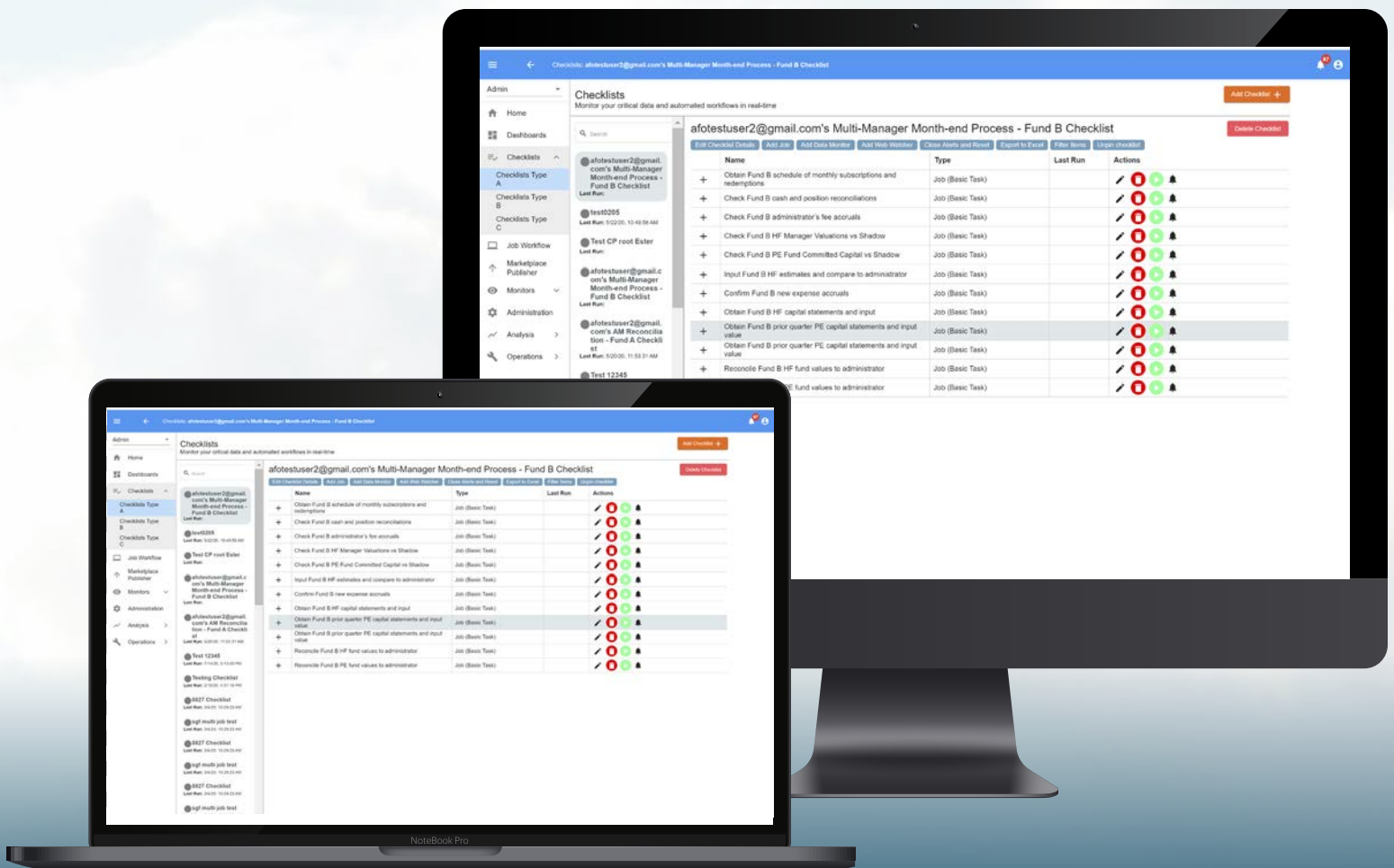


RYANEYES

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RyanEyes software and data warehouse solutions provide the asset management industry a comprehensive workflow management application across operations, accounting, risk and compliance. RyanEyes “Collimate” data warehouse collects, aggregates, and analyzes disparate data critical to making front, middle and back office decisions.

RyanEyes software brings visibility and actionable insights to the data in a single pane of glass solution. In addition, RyanEyes provides asset managers and fund administrators the capability to monitor data, workflow and jobs with perfect visibility and proactive capabilities including its own RyanEyes Checklist Tool



Operations Checklist

Month-End Pricing Process

Run Report, Attach report to file, require sign off via email, and continue with automated workflow; track broker quote communication

Search emails and Provide Sign-Offs and Tasks via Email

Incorporate email searching as a means to identify tasks that should be performed

File Transmission, Receipt

FTP Fund Admin, counterparty, Prime, or others. Extract information. Notify on process failure

File Transmission, Receipt via email

Parse and save email attachments from your Fund Admin, counterparty, Prime, or others; Extract information. Normalize data; Notify on process failure

Profit and Loss by Email

Provides the ability to send end of day emails with attachments. Email requires acknowledgement (Run Report, Save File, Attach file to email)

Credit Facility Closing Process Scenario:

Track documents between agent bank, borrower and others; Lien Searches; wiring instructions, etc.

Automated Email Confirmation Check Scenario:

Several nightly emails are sent from your fund admin. Check to confirm that those emails are received. Save Files from those emails if received

Search emails and Provide Sign-Offs and Tasks via Email

Incorporate email searching as a means to identify tasks that should be performed

Margin Files - FTP or Email Scenario:

Save margin call notices from an email attachment, scrape the data from a PDF and upload to a central database

Accounting Checklist



Month-End NAV Reporting Process

Track supporting reports and trial balance and sign off at various levels

Web Scraping

Periodically log into a web page, scrape information and save to a Database

PDF Scraping

Statement received from a counterparty. Job will scrape the PDF for data and save to a database



Investor Relations Requests

Log Request via Email; Query fund of fund data from backstop; interface with backstop, send file for review

Track Daily Success in Delivering Reports vs SLA Requirements

Identify failures for fund admins by report and client. Escalate critical items to appropriate parties; track manual tasks; report on SLA compliance

Use Case-Month-end Capital Statements with Shared Workflow Monitoring

Obtain and input month end capital statements, share checklist between fund admin and hedge fund



Custodial Interfaces

Watch for files on FTP; download and process files; load to Axys and / or Geneva (Goldman, Goldman International, Morgan Stanley, UBS, Credit Suisse, JPM Morgan and many others) File Transmission also available

Reconciliation / Data Warehouse Interfaces

Extract Transactions, positions and other reports from Axys; process in SQL and then FTP files to Electra or others

Risk Checklist



- Monte Carlo Analysis & Shock Analysis
- Risk Triggers on the Greek values
- Sharpe and Sortino Ratios Drawdown
- Portfolio Optimization
- VaR
- Factor Triggers

Compliance Checklist

- Form 13F, 13G and 13H - Security Number of Shares to Shares Outstanding
- Concentration holdings
- 12d-1 rule
- Form PF Tasks
- Form N Port Tasks and Data
- SSAE-16 Compliance

